

**FOURTH AMENDMENT TO SOLID WASTE COLLECTION FRANCHISE AGREEMENT  
FOR THE NORTHWESTERN AREA OF HUMBOLDT COUNTY**

This the Fourth Amendment to the Solid Waste Collection Franchise Agreement dated December 13, 2011, executed by and between the County of Humboldt, a political subdivision of the State of California, hereinafter referred to as "COUNTY," and Humboldt Sanitation Company, Inc., hereinafter referred to as "CONTRACTOR," is entered into, and shall be effective as of, July 1, 2014.

**RECITALS**

WHEREAS, on December 13, 2011, COUNTY and CONTRACTOR entered into a Solid Waste Collection Franchise Agreement ("Agreement") for the handling and disposal of solid waste in the northwestern area of Humboldt County for the period of January 1, 2012 to December 31, 2031; and

WHEREAS, the Agreement and State law allow for the adjustment of rates charged by CONTRACTOR for the handling of solid waste and provision of curbside recycling services pursuant to said Agreement, as well as annual index-based adjustments, changes in the franchise fee and/or the recycling percentage, changes in disposal and/or processing costs; and

WHEREAS, the parties desire to amend the Agreement in order to adjust the rates charged by CONTRACTOR and modify the Annual Franchise Report Form, which is currently attached to the Agreement as Exhibit A, to include data required of all California jurisdictions by CalRecycle; of which Humboldt Waste Management Authority is contracted by COUNTY to handle submittal of data to CalRecycle.

NOW, THEREFORE, IT IS AGREED as follows:

1. The rates which may be charged by CONTRACTOR pursuant to Section 14(A) of the Agreement shall be as shown in Exhibit B – Northwest Humboldt Area Franchise Rates, which is attached hereto and incorporated herein by reference. Such rates shall supersede and replace all prior rates set forth in the Agreement and all previous amendments thereto.
2. The Agreement is hereby amended to delete Exhibit A – Solid Waste Collection Annual Franchise Report referenced in Section 4(B)(ii) and replace it in its entirety with the revised Solid Waste Collection Annual Franchise Report, which is attached hereto and incorporated herein by reference.
3. Except as modified herein or by prior amendments, the Agreement shall remain in full force and effect. In the event of a conflict between the provisions of this Fourth Amendment and the original Agreement, or any prior amendments thereto, the provisions of this Fourth Amendment shall govern.

[signatures on following page]

IN WITNESS WHEREOF, the parties hereto have executed this Fourth Amendment on the date set forth above.

**COUNTY OF HUMBOLDT**

By:   
Chair, County Board of Supervisors

Date: 6-24-2014

ATTEST:

By:   
Clerk of the Board of Supervisors

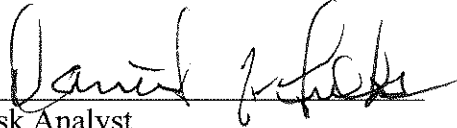
Date: 6-24-2014

APPROVED AS TO FORM:

By:   
Deputy County Counsel


Date: 6/11/14

INSURANCE CERTIFICATES APPROVED:

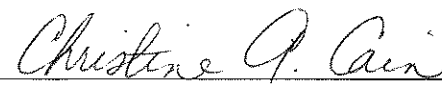
By:   
Risk Analyst

Date: 6/17/14

**HUMBOLDT SANITATION COMPANY, INC.**

By:   
Gregory D. Cain, President

Date: 5/29/14

By:   
Christine A. Cain, CFO

Date: 5/29/14

EXHIBIT B: NORTHWEST HUMBOLDT AREA FRANCHISE RATES  
EFFECTIVE JULY 1, 2014

Based on Tip/Ton at HWMA TS \$ 126.79

Type of Service	1	2	3	4	5	6	7	8	9	10	11
	Current Base	Automation	Disposal Fee	126.79	Sub-Total	Franchise Fee	Sub total	Recycling	Total Rate	Frequency of Service	Rounded to 5¢
<b>TABLE 1 CAN RATES - RESIDENTIAL and COMMERCIAL</b>											
20 Gallon Can	\$15.47	\$1.88	\$5.49		\$22.85	\$2.26	\$25.10	\$1.68	\$26.78	Weekly	\$ 26.80
30 Gallon Can	\$16.52	\$0.00	\$8.24		\$24.76	\$2.45	\$27.21	\$0.00	\$27.21	Weekly	\$ 27.20
35 Gallon Can	\$16.55	\$2.03	\$9.61		\$28.19	\$2.79	\$30.98	\$1.82	\$32.80	Weekly	\$ 32.80
45 Gallon Can	\$22.64	\$0.00	\$12.22		\$34.86	\$3.45	\$38.30	\$0.00	\$38.30	Weekly	\$ 38.30
65 Gallon Can	\$31.13	\$3.82	\$17.86		\$52.81	\$5.22	\$58.04	\$3.43	\$61.47	Weekly	\$ 61.45
95 Gallon Can	\$33.11	\$4.08	\$26.10		\$63.28	\$6.26	\$69.54	\$3.64	\$73.18	Weekly	\$ 73.20
<b>TABLE 2 LARGE CONTAINERS</b>											
<b>Monthly service</b>											
1.0 CY	82.33	12.38	55.49		150.21	15.70	165.90	11.13	177.03	ONCE PER WEEK	\$ 177.05
	19.00	2.82	12.81		34.63	3.42	38.05	2.53	40.58	EACH ADDITIONAL, per	\$ 40.60
1.5 CY	\$113.58	\$13.97	\$83.24		\$210.78	\$20.84	\$231.62	\$12.51	\$244.13	ONCE PER WEEK	\$ 244.15
	\$26.30	\$3.31	\$19.21		\$48.82	\$4.83	\$53.65	\$2.96	\$56.60	EACH ADDITIONAL, per	\$ 56.60
2.0 CY	\$151.42	\$18.62	\$110.98		\$281.01	\$27.79	\$308.80	\$16.87	\$325.47	ONCE PER WEEK	\$ 325.45
	\$35.07	\$4.41	\$25.61		\$65.09	\$6.44	\$71.52	\$3.94	\$75.47	EACH ADDITIONAL, per	\$ 75.45
3.0 CY	\$227.13	\$27.93	\$166.48		\$421.54	\$41.68	\$463.22	\$25.02	\$488.23	ONCE PER WEEK	\$ 488.25
	\$52.63	\$6.60	\$38.42		\$97.65	\$9.65	\$107.30	\$5.92	\$113.22	EACH ADDITIONAL, per	\$ 113.20
4 YD	\$303.08	\$34.92	\$221.97		\$559.96	\$55.37	\$615.33	\$31.27	\$646.60	ONCE PER WEEK	\$ 646.60
	\$70.01	\$8.80	\$51.22		\$130.03	\$12.86	\$142.88	\$7.68	\$150.56	EACH ADDITIONAL, per	\$ 150.55
<b>LARGE CONTAINERS</b>											
<b>Per Pick up rates for one container</b>											
5 YD	\$130.25	\$16.01	\$64.03		\$210.29	\$20.79	\$231.08	\$14.34	\$245.42	3 DAY RENTAL	\$ 245.40
14 YD	\$213.83	\$26.29	\$179.28		\$419.40	\$41.47	\$460.87	\$23.55	\$484.42	3 DAY RENTAL	\$ 484.40
18 YD	\$238.07	\$29.28	\$230.50		\$497.86	\$49.23	\$547.09	\$26.22	\$573.31	3 DAY RENTAL	\$ 573.30
20 YD	\$253.63	\$31.19	\$256.12		\$540.94	\$53.48	\$594.42	\$27.93	\$622.35	3 DAY RENTAL	\$ 622.35
40 YD	\$498.77	\$61.34	\$512.23		\$1,072.34	\$106.03	\$1,178.37	\$54.93	\$1,233.30	3 DAY RENTAL	\$ 1,233.30
Bin Cover for 1.5 YD	\$16.37	\$0.00	\$0.00		\$16.37	\$1.62	\$17.99	\$0.00	\$17.99	1.5 yd bin	\$ 18.00

TABLE 3 CONTAINER RENTAL RATES

Bin Cover for 20 or 40 YD	Current Year Base Rate	
	Monthly	Daily
	\$73.65	\$19.58
		\$19.60

TABLE 4 CHARGES FOR SPECIAL SERVICES

PER HOUR FOR ONE (1) MAN AND ONE (1) TRUCK; PER CUBIC YARD PICKUP:	Current	
	Rounded to 5¢	Rounded to 5¢
	\$ 79.44	\$79.45
	\$ 38.52	\$38.50

TABLE 5 CURBSIDE RECYCLING

1.5 Cubic Yard Container occasional use	Current	
	Prior	Rounded to 5¢
	NA	\$66.22 TO DELIVER TO SITE, PLUS
	NA	\$57.13 PER EMPTY
	NA	\$123.35

TABLE 5 CURBSIDE RECYCLING

Once every two weeks \$4.00 per month

**COUNTY OF HUMBOLDT  
SOLID WASTE COLLECTION ANNUAL FRANCHISE REPORT**

**Exhibit A**

Calendar Year (YYYY) \_\_\_\_\_

(Due April 1 following Calendar Year)

**CONTRACTOR INFORMATION**

Franchise Area \_\_\_\_\_  
 Company Name \_\_\_\_\_  
 Address \_\_\_\_\_  
 City, State, Zip Code \_\_\_\_\_  
 Contact Name \_\_\_\_\_  
 Phone Number \_\_\_\_\_  
 Fax Number \_\_\_\_\_  
 E-mail Address \_\_\_\_\_

**FRANCHISE TONNAGE**

	Residential	Commercial	Total
Solid Waste			
Recycling & OCC			
C&D Recycling			
Green Waste			

**SELF-HAULED TONNAGE TO CONTRACTOR'S SEPARATE FACILITY**

	Total
Solid Waste	
Recycling & OCC	
C&D Recycling	
Green Waste	

**NUMBER OF FRANCHISE ACCOUNTS SERVED - SOLID WASTE**

Can: Residential/Comm:	Monthly Service	Large Container:	Monthly Service	Large Container:	Monthly Service
20 Gallon		1 CY		30 CY	
30 Gallon		1.5 CY		40 CY	
32 Gallon		2 CY			
40 Gallon		2.5 CY			
45 Gallon		3 CY			
48 Gallon		4 CY			
55 Gallon		5 CY			
60 Gallon		6 CY			
64 Gallon		7 CY			
90 Gallon		8 CY			
93 Gallon		10 CY			
96 Gallon		14 CY			
Occasional 30-Gallon		15 CY			
Prepaid bags		18 CY			
"Blue-Bag"		20 CY			

Large Container:	On-call Pick Up
1 CY	
1.5 CY	
2 CY	
2.5 CY	
3 CY	
4 CY	
5 CY	
6 CY	
7 CY	
8 CY	
10 CY	
14 CY	
15 CY	
18 CY	
20 CY	
30 CY	
40 CY	

Large Container:	On-call Pick-Up
5 YD	
14 YD Covered	
14 YD Uncovered	
18 YD Covered	
18 YD Uncovered	
20 YD	
40 YD Uncovered	

**NUMBER OF FRANCHISE ACCOUNTS SERVED - RECYCLING**

	Residential	Commercial
Mixed Recycling		
Cardboard Only		

	# Commercial Solid Waste Accounts (4+ cu yds/wk)
	# of above Commercial Solid Waste Accounts (4+ cu yds/wk) with Curbside Recycling Service
	# Multi-Family Solid Waste Accounts (5+ units)
	# of above Multi-Family Solid Waste Accounts (5+ units) with Curbside Recycling Service

**NUMBER OF FRANCHISE ACCOUNTS SERVED - GREEN WASTE**

	Residential	Commercial
Green Waste		

**DIVERSION PROGRAMS**

Describe any new waste diversion programs begun in the past year:

Describe any waste diversion programs discontinued in the past year:

Describe any economic incentives for diversion programs:

	Y/N: Recycling Bundled with Solid Waste Fee?
	Y/N: Recycling Fee Separate from Solid Waste Fee?
	Y/N: Bulky Item Pick Up Service?

**GROSS FRANCHISE RECEIPTS - SOLID WASTE**

Can: Res & Comm.	Monthly
20 Gallon	
30 Gallon	
32 Gallon	
40 Gallon	
45 Gallon	
48 Gallon	
55 Gallon	
60 Gallon	
64 Gallon	
90 Gallon	
93 Gallon	
96 Gallon	
Occasional 30-Gallon	
Prepaid bags	
"Blue-Bag"	
Total	

Large Container:	Monthly
1 CY	
1.5 CY	
2 CY	
2.5 CY	
3 CY	
4 CY	
5 CY	
6 CY	
7 CY	
8 CY	
10 CY	
14 CY	
15 CY	
18 CY	
20 CY	
30 CY	
40 CY	
Total	

Large Container:	Pick-Up
1 CY	
1.5 CY	
2 CY	
2.5 CY	
3 CY	
4 CY	
5 CY	
6 CY	
7 CY	
8 CY	
10 CY	
14 CY	
15 CY	
18 CY	
20 CY	
30 CY	
40 CY	
Total	

Large Container:	Pick-Up
5 YD	
14 YD Covered	
14 YD Uncovered	
18 YD Covered	
18 YD Uncovered	
20 YD	
40 YD Uncovered	
Total	

**SUMMARY OF SERVICE COMPLAINTS**

Type of Complaint:	Total
Missed Pick-up	
Excessive Noise	

Spilled Garbage

Other (describe):

---

**NARRATIVE SUMMARY OF PROBLEMS**

(Describe problems encountered and actions taken with recommendations for County, as appropriate)

---

---

---

---

---

---

---

**SUMMARY OF HAZARDOUS WASTE TRAINING**

Course Title	Date	Number of Emp. Trained

**NUMBER OF NON-COLLECTION TAGS ISSUED**

(Provide number of tags issued by reason for each quarter)

	Total
Hazardous Waste	<input type="text"/>
Improper Location	<input type="text"/>
Other (describe):	<input type="text"/>

---

**COLLECTION VEHICLE LOCATION**

(Provide address of each terminal that houses collection vehicles serving the County franchise area)

Address \_\_\_\_\_  
City, State, Zip Code \_\_\_\_\_

Address \_\_\_\_\_  
City, State, Zip Code \_\_\_\_\_

Address \_\_\_\_\_

City, State, Zip Code \_\_\_\_\_  
\_\_\_\_\_

Address \_\_\_\_\_  
City, State, Zip Code \_\_\_\_\_

ADDITIONAL INFORMATION:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

The undersigned, under penalty of perjury, states that the information listed on the above Annual Franchise Report are true and correct.

\_\_\_\_\_  
Name of Preparer and Date

**COUNTY OF HUMBOLDT  
SOLID WASTE COLLECTION QUARTERLY FRANCHISE REPORT**

**Exhibit A**

Quarter \_\_\_\_\_

(Due by the 15th day of the second month following the end of each calendar quarter.)

**CONTRACTOR INFORMATION**

Franchise Area \_\_\_\_\_  
 Company Name \_\_\_\_\_  
 Address \_\_\_\_\_  
 City, State, Zip Code \_\_\_\_\_  
 Contact Name \_\_\_\_\_  
 Phone Number \_\_\_\_\_  
 Fax Number \_\_\_\_\_  
 E-mail Address \_\_\_\_\_

**SOLID WASTE COLLECTED (TONS)**

Location/s:

Solid Waste			
Recyclables			
Green Waste			
Total			

**SOLID WASTE (TONS) SELF-HAULED TO CONTRACTOR'S FACILITY OR SEPARATE FACILITY**

Location/s:

Solid Waste			
Recyclables			
Green Waste			
Total			

ADDITIONAL INFORMATION:

---



---

---

---

---

---

The undersigned, under penalty of perjury, states that the information listed on the above Quarterly Franchise Report and gross receipts reported on a monthly basis are true and correct.

---

Name of Preparer and Date